



MAR 16 2005

PTO/SB/21 (09-04)  
Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

2665  
2/15/05

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

10/075,150

Filing Date

February 14, 2002

First Named Inventor

Harri Pekonen

Art Unit

2665

Examiner Name

Justin M. Philpott

Attorney Docket Number

004770.00040

### ENCLOSURES (check all that apply)

- Fee Transmittal Form
  - Fee Attached
- Amendment / Reply
- After Final
- Affidavits/declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement
- Certified Copy of Priority Document(s)
- Reply to Missing Parts/ Incomplete Application
- Reply to Missing Parts under 37 CFR 1.52 or 1.53

- Drawing(s)
- Licensing-related Papers
- Petition
- Petition to Convert to a Provisional Application
- Power of Attorney, Revocation Change of Correspondence Address
- Terminal Disclaimer
- Request for Refund
- CD, Number of CD(s) \_\_\_\_\_
- Landscape Table on CD

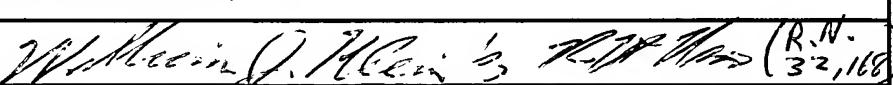
- After Allowance Communication to TC
- Appeal Communication to Board of Appeals and Interferences
- Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
- Proprietary Information
- Status Letter
- Other Enclosure(s) (please identify below):

2 References  
Form PTO/SB/08a (2 pages)  
Express Mail Certificate  
Return Receipt Postcard

**Remarks** The Commissioner is authorized to charge any fees in connection with this correspondence to Deposit Account No. 19-0733.

Express Mail No. EL 995821961 US

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm	Banner & Witcoff, LTD.		
Signature	 (R.N. 32,168)		
Printed Name	William J. Klein		
Date	March 15, 2005	Reg. No.	43,719

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Signature			
Typed or printed name		Date	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Harri Pekonen

Application No.: 10/075,150

Filed: February 14, 2002

For: Time-Slice Signaling For  
Broadband Digital  
Broadcasting

Atty. Docket No. 004770.00040

Group Art Unit: 2665

Examiner: Justin M. Philpott

Confirmation No.: 6898

**INFORMATION DISCLOSURE STATEMENT**

MAIL STOP AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

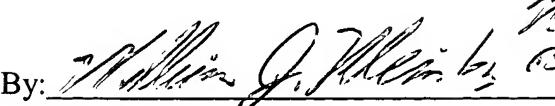
Pursuant to 37 CFR §§1.97-1.98, please make the references listed on the enclosed Form PTO/SB/08a of record in this application. This Information Disclosure Statement is filed before the mailing date of a final action under § 1.13, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, and includes a statement specified in 37 C.F.R. §1.97(e)(1).

The references cited in this Information Disclosure Statement were first cited in the International Search Report (a copy of which is enclosed) from the European Patent Office in the counterpart international application No. EP 03 70 5641 not more than three months before the filing of this Information Disclosure Statement.

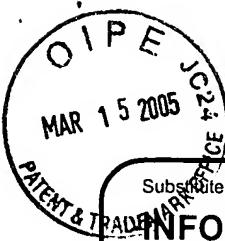
Applicant believes no fees are due. However, the Commissioner is authorized to charge any fees that may be due or credit any overpayment of fees to our Deposit Account No. 19-0733.

Respectfully submitted,

Dated: March 15, 2005

By:   
William J. Klein  
Registration No. 43,719  
BANNER & WITCOFF, LTD.  
10 South Wacker Drive  
Suite 3000  
Chicago, IL 60606  
Telephone: 312-463-5000  
Facsimile: 312-463-5001

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.



Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	1	Attorney Docket Number	004770.00040
-------	---	----	---	------------------------	--------------

**Complete if Known**

Application Number	10/075,150
Filing Date	February 14, 2002
First Named Inventor	Harri Pekonen
Group Art Unit	2665
Examiner Name	Justin M. Philpott

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US 2001/031626	10-18-2001	Lindskog et al.	
		US 5,539,925	07-23-1996	Kotila et al.	
		US-			
		US-			
		US-			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>3</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		WO 01/97538		Mansfield et al.		

**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		International Search Report for Appl. No. EP 03 70 5641 dated February 14, 2005	

Examiner Signature	Date Considered
--------------------	-----------------

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



**CERTIFICATE OF EXPRESS MAIL  
(PATENT)**

Attorney Docket No. 004770.00040  
Confirmation No. 6898

Express Mail No. EL 995821961 US  
Deposited March 15, 2005

I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under §37 CFR 1.10 on the date indicated above and is addressed to Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: McWilliams

Pekonen, U.S. Patent Application No. 10/075,150 for "Time-Slice Signaling for Broadband Digital Broadcasting"

- Transmittal Form
- Information Disclosure Statement (2 pages)
- Form PTO/SB/08a (2 pages)
- 2 References
- Return Receipt Postcard